



## **F. Dean Barber, AIF<sup>®</sup>, RFC<sup>®</sup>**

**M. Shane Barber, AIF<sup>®</sup>, RFC<sup>®</sup>**

**Bruce T. Godke, AIF<sup>®</sup>, RFC<sup>®</sup>, CLU<sup>®</sup>**

**Eric J. Sheerin, AIF<sup>®</sup>, RFC<sup>®</sup>**

**R. Drew Jones, AIF<sup>®</sup>**

**William R. Doty, AIF<sup>®</sup>**

**Jason Newcomer, CFP<sup>®</sup>, AIF<sup>®</sup>**

**Wayne Robinson**

**Michael Sheerin, AIF<sup>®</sup>**

**Logan DeGraeve, AIF<sup>®</sup>**

13550 W. 95th Street  
Lenexa, KS 66227

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Kansas City, MO 64116

913-393-1000  
913-248-8004

August 25, 2016

The Brochure Supplement provides information about F. Dean Barber, Shane Barber, Bruce Godke, Eric Sheerin, R. Drew Jones, William Doty, Jason Newcomer, Wayne Robinson, Michael Sheerin, and Logan DeGraeve that supplements the of Barber Financial Group, Inc. Brochure. You should have received a copy of the Brochure. Please contact Tom Clough if you did not receive Barber Financial Group's Brochure or if you have any questions about the contents of this supplement.

Additional information about F. Dean Barber, Shane Barber, Bruce Godke, Eric Sheerin, R. Drew Jones, William Doty, Jason Newcomer, Wayne Robinson, Michael Sheerin and Logan DeGraeve is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).



## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### F. Dean Barber, AIF®, RFC®

Born: 1965

#### Post Secondary Education:

- No formal education after high school
- Successfully completed the securities licenses: Series 6, 7, 22, 24, 65
- Registered Financial Consultant® ("RFC®")\*
- Accredited Investment Fiduciary® ("AIF®")\*\*
- Insurance Licenses in Life, Health and Accident

#### Recent Business Experience:

- Barber Financial Group, President, CEO, CIO – April 2003 to present
- BFG Insurance
- National Planning Corporation, Registered Representative, Investment Adviser Representative – April 2007 to August 2016

#### *\* Minimum Qualifications for the Registered Financial Consultant® (RFC®) Designation*

The Registered Financial Consultant (RFC) designation is the professional credential for persons in the field of financial planning. The designation is awarded by the International Association of Registered Financial Consultants (IARFC) to those financial advisors who can meet the high standards of education, experience and integrity. The RFC requires the following prerequisites: (1) meet an educational curriculum that covers six topics, (2) pass the RFC exam, (3) have attained a professional designation or earned a bachelors or graduate degree in financial planning with emphasis on subjects relating to economics, accounting, business, statistics, finance and similar studies, (4) have four years of experience, (5) a sound record of business integrity with no suspension or revocation of any professional licenses, (6) devote a minimum of 40 hours per year to continuing professional education in the field of personal finance and professional practice management.

#### *\*\* Minimum Qualifications for the Accredited Investment Fiduciary® (AIF®) Designation*

The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and accrue and report a minimum of six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360 Professional Certifications.

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### ITEM 3 - DISCIPLINARY INFORMATION

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Mr. Dean Barber has no material legal or disciplinary events requiring disclosure. He has not been party to a) a criminal or civil action in a domestic, foreign or military court; b) an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency or any foreign financial regulatory authority imposing a penalty of more than \$2,500; c) a self-regulatory proceeding; or d) any other proceeding in which a professional attainment, designation, or license was revoked.

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### ITEM 4 - OTHER BUSINESS ACTIVITIES

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Mr. Dean Barber holds an insurance license to sell limited insurance products through BFG Insurance Service, LLC. It is anticipated that a small portion, less than (10%) of his time, will be spent providing these insurance products. He may receive compensation from selling insurance products and therefore receive economic benefit for this activity. This activity may create a conflict of interest with clients. However, since this activity represents less than 10% of his time and income, it is presumed not to be substantial.

Mr. Dean Barber indirectly owns BFG Tax Service, LLC. When investment management, plan implementation, financial planning services or tax planning are offered, there is a potential conflict of interest since there is an incentive for the party offering investment management and financial planning services to recommend the services for which associated persons of our firm may receive compensation through Barber Tax Service, LLC. However, investment management/financial planning clients are under no obligation to act upon any of our recommendations or to utilize the services of the tax planning division.

Mr. Dean Barber is the host of the Wealth Management Radio Show. The radio show is designed to educate radio listeners on financial matter. The show airs in approximately 15 markets. Mr. Dean Barber is compensated for hosting the radio show and may also receive inquiries about Barber Financial Group services as a result of the radio show. Mr. Dean Barber spends approximately eight hours a week on the radio show.

Mr. Dean Barber is also the co-author of "Retire A Winner" that focuses on how to live the life style and leave a legacy you want. Fees may be received from the sale of this published book. Clients of BFG are welcome, but are never obligated to purchase any product or publication that may be available.

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### ITEM 5 - ADDITIONAL COMPENSATION

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Barber Financial Group shares net profits with the investment adviser representatives if the representatives meet personal goals. The additional compensation is reviewed and paid quarterly.

## ITEM 6 - SUPERVISION

Mr. Barber is an adviser with Barber Financial Group, Inc. Mr. Barber is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Tom Clough who is responsible for administering the policies and procedures. Mr. Clough reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed.

Mr. Clough may be reached at 913-393-1000.



## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### M. Shane Barber, AIF<sup>®</sup>, RFC<sup>®</sup>

Born: 1964

#### Post Secondary Education:

- No formal education after high school
- Successfully completed the securities licenses: Series 7 and 66
- Registered Financial Consultant<sup>®</sup> ("RFC<sup>®</sup>")\*
- Accredited Investment Fiduciary<sup>®</sup> ("AIF<sup>®</sup>")\*\*
- Insurance Licenses in Life, Health and Accident

#### Recent Business Experience:

- Barber Financial Group, September, 2005 to Present
- Mutual Securities, Inc., Registered Representative, Investment Advisor Representative - August 2016 to Present
- National Planning Corporation, Registered Representative, Investment Advisor Representative - April 2007 to August 2016

#### *\* Minimum Qualifications for the **Registered Financial Consultant<sup>®</sup> (RFC<sup>®</sup>) Designation***

The Registered Financial Consultant (RFC) designation is the professional credential for persons in the field of financial planning. The designation is awarded by the International Association of Registered Financial Consultants (IARFC) to those financial advisors who can meet the high standards of education, experience and integrity. The RFC requires the following prerequisites: (1) meet an educational curriculum that covers six topics, (2) pass the RFC exam, (3) have attained a professional designation or earned a bachelors or graduate degree in financial planning with emphasis on subjects relating to economics, accounting, business, statistics, finance and similar studies, (4) have four years of experience, (5) a sound record of business integrity with no suspension or revocation of any professional licenses, (6) devote a minimum of 40 hours per year to continuing professional education in the field of personal finance and professional practice management.

#### *\*\* Minimum Qualifications for the **Accredited Investment Fiduciary<sup>®</sup> (AIF<sup>®</sup>) Designation***

The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and accrue and report a minimum of six hours of continuing education. The

Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360 Professional Certifications.

### ITEM 3 - DISCIPLINARY INFORMATION

Mr. Shane Barber has no material legal or disciplinary events requiring disclosure. He has not been party to a) a criminal or civil action in a domestic, foreign or military court; b) an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency or any foreign financial regulatory authority imposing a penalty of more than \$2,500; c) a self-regulatory proceeding; or d) any other proceeding in which a professional attainment, designation, or license was revoked.

### ITEM 4 - OTHER BUSINESS ACTIVITIES

Mr. Shane Barber holds an insurance license to sell limited insurance products with BFG Insurance, LLC. It is anticipated that a small portion, less than (10%) of his time, will be spent providing these insurance products. He may receive compensation from selling insurance products and therefore receive economic benefit for this activity. This activity may create a conflict of interest with clients. However, since this activity represents less than 10% of his time and income, it is presumed not to be substantial.

Mr. Shane Barber is a registered representative and investment adviser representative of Mutual Securities, Inc. ("Mutual Securities"), a securities broker/dealer, and a member of the Financial Industry Regulatory Authority, Inc. ("FINRA") and an investment adviser registered with the Securities and Exchange Commission ("SEC").

As a broker-dealer, Mutual Securities engages in a broad range of activities normally associated with securities brokerage firms. Pursuant to the investment advice given by Mr. Shane Barber investments in securities may be recommended for you. If Mutual Securities is selected as the broker-dealer, it may affect transactions in securities for you, a client of BFG and Mr. Shane Barber. By serving as the broker-dealer, Mutual Securities and Mr. Shane Barber may receive commissions for executing securities transactions. When Mr. Shane Barber receives commissions in connection with the advice given to advisory clients, BFG may reduce a portion of its fees by the amount of the commissions earned by Mr. Shane Barber.

You are advised that if Mutual Securities is selected as the broker-dealer, the transaction charges may be higher or lower than the charges you may pay if the transactions were executed at other broker-dealers. You should note, however, that you are under no obligation to purchase securities through Mr. Shane Barber, BFG or Mutual Securities.

Mr. Shane Barber may provide advice regarding investment company securities. You should be aware that, in addition to the advisory fees paid by you, each investment company also pays its own separate investment advisory fees and other expenses. In addition, you should

be aware that mutual funds may be purchased separately independent of the investment management services of BFG.

Mr. Shane Barber may in his capacity as registered representatives of Mutual Securities, or as agents appointed with various life, disability or other insurance companies, receive commissions, 12(b) -1 fees, trails, or other compensation from the respective product sponsors and/or as a result of effecting securities transactions for you. As previously noted, when commissions or fees are received by Mr. Shane Barber in connection with the advice given to you, he may, but is not obligated to, reduce its fee proportionate to the amount of the commission or fee earned by him. However, you should note that you are under no obligation to purchase any investment products through Mr. Shane Barber.

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#### **ITEM 5 - ADDITIONAL COMPENSATION**

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Barber Financial Group shares net profits with the investment adviser representatives if the representatives meet personal goals. The additional compensation is reviewed and paid quarterly.

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#### **ITEM 6 - SUPERVISION**

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Mr. Barber is an adviser with Barber Financial Group, Inc. Mr. Barber is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Tom Clough who is responsible for administering the policies and procedures. Mr. Clough reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed.

Mr. Clough may be reached at 913-393-1000.



## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Bruce T. Godke, AIF<sup>®</sup>, RFC<sup>®</sup>, CLU<sup>®</sup>**

Born: 1959

### Post Secondary Education:

- B.S. in Business Administration from Valparaiso
- Masters in Business Administration, University of Kansas
- Successfully completed the securities licenses: Series 7, 66
- Registered Financial Consultant<sup>®</sup> ("RFC<sup>®</sup>")\*
- Accredited Investment Fiduciary<sup>®</sup> ("AIF<sup>®</sup>")\*\*
- Chartered Life Underwriter<sup>®</sup> ("CLU<sup>®</sup>")\*\*\*
- Insurance Licenses in Life, Health and Accident

### Recent Business Experience:

- Barber Financial Group, May 2005 to present
- Mutual Securities, Registered Representative, Investment Advisor Representative, August 2016 to Present
- National Planning Corporation, Registered Representative, Investment Advisor Representative, April 2007 to August 2016

#### *\* Minimum Qualifications for the **Registered Financial Consultant<sup>®</sup> (RFC<sup>®</sup>) Designation***

The Registered Financial Consultant (RFC) designation is the professional credential for persons in the field of financial planning. The designation is awarded by the International Association of Registered Financial Consultants (IARFC) to those financial advisors who can meet the high standards of education, experience and integrity. The RFC requires the following prerequisites: (1) meet an educational curriculum that covers six topics, (2) pass the RFC exam, (3) have attained a professional designation or earned a bachelors or graduate degree in financial planning with emphasis on subjects relating to economics, accounting, business, statistics, finance and similar studies, (4) have four years of experience, (5) a sound record of business integrity with no suspension or revocation of any professional licenses, (6) devote a minimum of 40 hours per year to continuing professional education in the field of personal finance and professional practice management.

#### *\*\* Minimum Qualifications for the **Accredited Investment Fiduciary<sup>®</sup> (AIF<sup>®</sup>) Designation***

The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and accrue and report a minimum of six hours of continuing education. The



Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360 Professional Certifications.

**\*\*\*Minimum Qualifications for the *Chartered Life Underwriter*<sup>®</sup> (CLU) Designation**

The CLU is a professional designation for individuals who wish to specialize in life insurance and estate planning. Individuals must complete five core courses and three elective courses, and successfully pass all eight two-hour, 100-question examinations in order to receive the designation.

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### ITEM 3 - DISCIPLINARY INFORMATION

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Mr. Godke has no material legal or disciplinary events requiring disclosure. He has not been party to a) a criminal or civil action in a domestic, foreign or military court; b) an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency or any foreign financial regulatory authority imposing a penalty of more than \$2,500; c) a self-regulatory proceeding; or d) any other proceeding in which a professional attainment, designation, or license was revoked.

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### ITEM 4 - OTHER BUSINESS ACTIVITIES

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Mr. Godke holds an insurance license to sell limited insurance products with BFG Insurance, LLC. It is anticipated that a small portion, less than (10%) of his time, will be spent providing these insurance products. He may receive compensation from selling insurance products and therefore receive economic benefit for this activity. This activity may create a conflict of interest with clients. However, since this activity represents less than 10% of his time and income, it is presumed not to be substantial.

Mr. Godke is a registered representative and investment adviser representative of Mutual Securities, Inc. ("Mutual Securities"), a securities broker/dealer, and a member of the Financial Industry Regulatory Authority, Inc. ("FINRA") and an investment adviser registered with the Securities and Exchange Commission ("SEC").

As a broker-dealer, Mutual Securities engages in a broad range of activities normally associated with securities brokerage firms. Pursuant to the investment advice given by Mr. Godke investments in securities may be recommended for you. If Mutual Securities is selected as the broker-dealer, it may affect transactions in securities for you, a client of BFG and Mr. Godke. By serving as the broker-dealer, Mutual Securities and Mr. Godke may receive commissions for executing securities transactions. When Mr. Godke receives commissions in connection with the advice given to advisory clients, BFG may reduce a portion of its fees by the amount of the commissions earned by Mr. Godke.

You are advised that if Mutual Securities is selected as the broker-dealer, the transaction charges may be higher or lower than the charges you may pay if the transactions were executed at other broker-dealers. You should note, however, that you are under no obligation to purchase securities through Mr. Godke, BFG or Mutual Securities.

Mr. Godke may provide advice regarding investment company securities. You should be aware that, in addition to the advisory fees paid by you, each investment company also pays its own separate investment advisory fees and other expenses. In addition, you should be aware that mutual funds may be purchased separately independent of the investment management services of BFG.

Mr. Godke may in his capacity as registered representatives of Mutual Securities, or as agents appointed with various life, disability or other insurance companies, receive commissions, 12(b) -1 fees, trails, or other compensation from the respective product sponsors and/or as a result of effecting securities transactions for you. As previously noted, when commissions or fees are received by Mr. Godke in connection with the advice given to you, he may, but is not obligated to, reduce its fee proportionate to the amount of the commission or fee earned by him. However, you should note that you are under no obligation to purchase any investment products through Mr. Godke.

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#### **ITEM 5 - ADDITIONAL COMPENSATION**

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Barber Financial Group shares net profits with the investment adviser representatives if the representatives meet personal goals. The additional compensation is reviewed and paid quarterly.

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#### **ITEM 6 - SUPERVISION**

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Mr. Godke is an adviser with Barber Financial Group, Inc. Mr. Godke is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Tom Clough who is responsible for administering the policies and procedures. Mr. Clough reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed.

Mr. Clough may be reached at 913-393-1000.



## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Eric J. Sheerin, AIF®, RFC®**

Born: 1977

Post Secondary Education:

- Kansas State University, BA in Marketing
- Successfully completed the securities licenses: Series 6, 7, 63, 66
- Registered Financial Consultant® ("RFC")\*
- Accredited Investment Fiduciary® ("AIF")\*\*
- Insurance Licenses in Life, Health and Accident

Recent Business Experience:

- Barber Financial Group, April 2003 to present
- Mutual Securities, Registered Representative, Investment Adviser Representative - August 2016 to Present
- National Planning Corporation, Registered Representative, Investment Adviser Representative - April 2007 to August 2016

*\* Minimum Qualifications for the **Registered Financial Consultant® (RFC) Designation***

The Registered Financial Consultant (RFC) designation is the professional credential for persons in the field of financial planning. The designation is awarded by the International Association of Registered Financial Consultants (IARFC) to those financial advisors who can meet the high standards of education, experience and integrity. The RFC requires the following prerequisites: (1) meet an educational curriculum that covers six topics, (2) pass the RFC exam, (3) have attained a professional designation or earned a bachelors or graduate degree in financial planning with emphasis on subjects relating to economics, accounting, business, statistics, finance and similar studies, (4) have four years of experience, (5) a sound record of business integrity with no suspension or revocation of any professional licenses, (6) devote a minimum of 40 hours per year to continuing professional education in the field of personal finance and professional practice management.

*\*\* Minimum Qualifications for the **Accredited Investment Fiduciary® (AIF) Designation***

The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and accrue and report a minimum of six hours of continuing education. The

Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360 Professional Certifications.

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### ITEM 3 - DISCIPLINARY INFORMATION

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Mr. Sheerin has no material legal or disciplinary events requiring disclosure. He has not been party to a) a criminal or civil action in a domestic, foreign or military court; b) an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency or any foreign financial regulatory authority imposing a penalty of more than \$2,500; c) a self-regulatory proceeding; or d) any other proceeding in which a professional attainment, designation, or license was revoked.

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### ITEM 4 - OTHER BUSINESS ACTIVITIES

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Mr. Sheerin holds an insurance license to sell limited insurance products with BFG Insurance, LLC. It is anticipated that a small portion, less than (10%) of his time, will be spent providing these insurance products. He may receive compensation from selling insurance products and therefore receive economic benefit for this activity. This activity may create a conflict of interest with clients. However, since this activity represents less than 10% of his time and income, it is presumed not to be substantial.

Mr. Sheerin is a registered representative and investment adviser representative of Mutual Securities, Inc. ("Mutual Securities"), a securities broker/dealer, and a member of the Financial Industry Regulatory Authority, Inc. ("FINRA") and an investment adviser registered with the Securities and Exchange Commission ("SEC").

As a broker-dealer, Mutual Securities engages in a broad range of activities normally associated with securities brokerage firms. Pursuant to the investment advice given by Mr. Sheerin investments in securities may be recommended for you. If Mutual Securities is selected as the broker-dealer, it may affect transactions in securities for you, a client of BFG and Mr. Shane Barber. By serving as the broker-dealer, Mutual Securities and Mr. Sheerin may receive commissions for executing securities transactions. When Mr. Sheerin receives commissions in connection with the advice given to advisory clients, BFG may reduce a portion of its fees by the amount of the commissions earned by Mr. Shane Barber.

You are advised that if Mutual Securities is selected as the broker-dealer, the transaction charges may be higher or lower than the charges you may pay if the transactions were executed at other broker-dealers. You should note, however, that you are under no obligation to purchase securities through Mr. Shane Barber, BFG or Mutual Securities.

Mr. Sheerin may provide advice regarding investment company securities. You should be aware that, in addition to the advisory fees paid by you, each investment company also pays its own separate investment advisory fees and other expenses. In addition, you should be

aware that mutual funds may be purchased separately independent of the investment management services of BFG.

Mr. Sheerin may in his capacity as registered representatives of Mutual Securities, or as agents appointed with various life, disability or other insurance companies, receive commissions, 12(b) -1 fees, trails, or other compensation from the respective product sponsors and/or as a result of effecting securities transactions for you. As previously noted, when commissions or fees are received by Mr. Sheerin in connection with the advice given to you, he may, but is not obligated to, reduce its fee proportionate to the amount of the commission or fee earned by him. However, you should note that you are under no obligation to purchase any investment products through Mr. Shane Barber.

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#### ITEM 5 - ADDITIONAL COMPENSATION

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Barber Financial Group shares net profits with the investment adviser representatives if the representatives meet personal goals. The additional compensation is reviewed and paid quarterly.

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#### ITEM 6 - SUPERVISION

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Mr. Sheerin is an adviser with Barber Financial Group, Inc. Mr. Sheerin is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Tom Clough who is responsible for administering the policies and procedures. Mr. Clough reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed.

Mr. Clough may be reached at 913-393-1000



## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

R. Drew Jones, AIF®

Born: 1982

Post Secondary Education:

- University of Missouri – Columbia, B.S. in Hotel and Restaurant Management, 2005
- Successfully completed the securities licenses: Series 7, 63, 66
- Accredited Investment Fiduciary® (“AIF”)\*
- Insurance Licenses in Life, Health and Accident

Recent Business Experience:

- Barber Financial Group, Paraplanner/Investment Adviser Representative September 2008 to Present
- National Planning Corporation, Registered Representative, October 2012 to August 2016
- C.H., Robinson, Freight Broker Representative, October 2007 to June 2008
- Depuy Spine, Associate Sales Representative, March 2006 to August 2007

*\* Minimum Qualifications for the **Accredited Investment Fiduciary® (AIF®) Designation***

The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and accrue and report a minimum of six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360 Professional Certifications.

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### ITEM 3 - DISCIPLINARY INFORMATION

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Mr. Jones has no material legal or disciplinary events requiring disclosure. He has not been party to a) a criminal or civil action in a domestic, foreign or military court; b) an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency or any foreign financial regulatory authority imposing a penalty of more than \$2,500; c) a self-regulatory proceeding; or d) any other proceeding in which a professional attainment, designation, or license was revoked.

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### ITEM 4 - OTHER BUSINESS ACTIVITIES

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Mr. Jones holds an insurance license to sell limited insurance products. It is anticipated that a small portion, less than (10%) of his time, will be spent providing these insurance products. He may receive compensation from selling insurance products and therefore receive economic benefit for this activity. This activity may create a conflict of interest with clients. However, since this activity represents less than 10% of his time and income, it is presumed not to be substantial.

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### ITEM 5 - ADDITIONAL COMPENSATION

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Barber Financial Group shares net profits with the investment adviser representatives if the representatives meet personal goals. The additional compensation is reviewed and paid quarterly.

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### ITEM 6 - SUPERVISION

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Mr. Jones is an adviser with Barber Financial Group, Inc. Mr. Jones is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Tom Clough who is responsible for administering the policies and procedures. Mr. Clough reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed.

Mr. Clough may be reached at 913-393-1000.



## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**William R. Doty, AIF\***

Born: 1984

Post Secondary Education:

- University of Phoenix, B.S. in Business Management, 2008
- Successfully completed the securities licenses: Series 7, 63, 65
- Accredited Investment Fiduciary\* ("AIF")
- Insurance Licenses in Life and Health

Recent Business Experience:

- Barber Financial Group, Paraplanner/Investment Adviser Representative June, 2010 to Present
- National Planning Corporation, Registered Representative, November 2009 to August 2016
- Barber Financial Group, Support Staff, July, 2007 to June 2010

*\* Minimum Qualifications for the Accredited Investment Fiduciary\* (AIF) Designation*

The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and accrue and report a minimum of six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360 Professional Certifications.

## ITEM 3 - DISCIPLINARY INFORMATION

Mr. Doty has no material legal or disciplinary events requiring disclosure. He has not been party to a) a criminal or civil action in a domestic, foreign or military court; b) an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency or any foreign financial regulatory authority imposing a penalty of more than \$2,500; c) a self-regulatory proceeding; or d) any other proceeding in which a professional attainment, designation, or license was revoked.



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#### **ITEM 4 - OTHER BUSINESS ACTIVITIES**

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Mr. Doty holds an insurance license to sell limited insurance products with BFG Insurance, LLC. It is anticipated that a small portion, less than (10%) of his time, will be spent providing these insurance products. He may receive compensation from selling insurance products and therefore receive economic benefit for this activity. This activity may create a conflict of interest with clients. However, since this activity represents less than 10% of his time and income, it is presumed not to be substantial.

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#### **ITEM 5 - ADDITIONAL COMPENSATION**

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Barber Financial Group shares net profits with the investment adviser representatives if the representatives meet personal goals. The additional compensation is reviewed and paid quarterly.

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#### **ITEM 6 - SUPERVISION**

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Mr. Doty is an adviser with Barber Financial Group, Inc. Mr. Doty is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Tom Clough who is responsible for administering the policies and procedures. Mr. Clough reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed.

Mr. Clough may be reached at 913-393-1000.



## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Jason Newcomer, CFP®, AIF®**

Born: 1987

Post Secondary Education:

- Missouri State University, B.S. Finance, 2010
- Successfully completed the securities licenses: Series 7, 63, 66
- Certified Financial Planner® ("CFP®")
- Accredited Investment Fiduciary® ("AIF®")
- Insurance Licenses in Life and Health

Recent Business Experience:

- Barber Financial Group, Paraplanner/Investment Adviser Representative, May 2010 to Present
- Newcomer & Associates, Financial Analyst, May 2010 to Present
- National Planning Corporation, Registered Representative, May 2010 to August 2016

*\*Minimum Qualifications for the **Certified Financial Planner® (CFP®) Designation***

Accredited by the National Commission for Certifying Agencies, this designation is issued by the Certified Financial Planner Board of Standards, Inc. (CFPBS) and is granted to individuals who meet the following prerequisites: (1) meet the education requirement, (2) possess a bachelor's degree from an accredited college or university, (3) pass the CFP® Certification Examination, (4) have at least three years of full-time work experience in personal financial planning, and (5) pass the CFPBS Fitness Standards for Candidates and Registrants and Background Check. The education requirement can be met by completing a CFPBS registered education program or by applying for challenge status based on certain degrees or credentials. Once the initial CFP® designation is issued, the CFP® holder is required to complete 30 hours of continuing education every two years and must meet other standards administered by the CFPBS.

*\*Minimum Qualifications for the **Accredited Investment Fiduciary® (AIF®) Designation***

The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and accrue and report a minimum of six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360 Professional Certifications.

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**ITEM 3 - DISCIPLINARY INFORMATION**

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Mr. Newcomer has no material legal or disciplinary events requiring disclosure. He has not been party to a) a criminal or civil action in a domestic, foreign or military court; b) an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency or any foreign financial regulatory authority imposing a penalty of more than \$2,500; c) a self-regulatory proceeding; or d) any other proceeding in which a professional attainment, designation, or license was revoked.

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**ITEM 4 - OTHER BUSINESS ACTIVITIES**

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Mr. Newcomer holds an insurance license to sell limited insurance products with BGF Insurance, LLC. It is anticipated that a small portion, less than (10%) of his time, will be spent providing these insurance products. He may receive compensation from selling insurance products and therefore receive economic benefit for this activity. This activity may create a conflict of interest with clients. However, since this activity represents less than 10% of his time and income, it is presumed not to be substantial.

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**ITEM 5 - ADDITIONAL COMPENSATION**

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Barber Financial Group shares net profits with the investment adviser representatives if the representatives meet personal goals. The additional compensation is reviewed and paid quarterly.

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**ITEM 6 - SUPERVISION**

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Mr. Newcomer is an adviser with Barber Financial Group, Inc. Mr. Newcomer is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Tom Clough who is responsible for administering the policies and procedures. Mr. Clough reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed.

Mr. Clough may be reached at 913-393-1000.



## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### Wayne Robinson

Born: 1973

Post Secondary Education:

- Witwatersrand University, Bachelors in Hotel and Restaurant Management Finance, 1995
- Successfully completed the securities licenses: Series 6, 7, 63,66
- Insurance Licenses in Life and Health

Recent Business Experience:

- Barber Financial Group, Investment Adviser Representative, February 2015 to Present
- National Planning Corporation, Registered Representative, May 2015 to August 2016
- Allianz, Sales Representative, April 2012 to January 2015
- Sun Life, Sales Representative, July 2009 to December 2011

## ITEM 3 - DISCIPLINARY INFORMATION

Mr. Robinson has no material legal or disciplinary events requiring disclosure. He has not been party to a) a criminal or civil action in a domestic, foreign or military court; b) an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency or any foreign financial regulatory authority imposing a penalty of more than \$2,500; c) a self-regulatory proceeding; or d) any other proceeding in which a professional attainment, designation, or license was revoked.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Mr. Robinson holds an insurance license to sell limited insurance products with BGF Insurance, LLC. It is anticipated that a small portion, less than (10%) of his time, will be spent providing these insurance products. He may receive compensation from selling insurance products and therefore receive economic benefit for this activity. This activity may create a

conflict of interest with clients. However, since this activity represents less than 10% of his time and income, it is presumed not to be substantial.

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#### **ITEM 5 - ADDITIONAL COMPENSATION**

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Barber Financial Group shares net profits with the investment adviser representatives if the representatives meet personal goals. The additional compensation is reviewed and paid quarterly.

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#### **ITEM 6 - SUPERVISION**

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Mr. Robinson is an adviser with Barber Financial Group, Inc. Mr. Robinson is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Tom Clough who is responsible for administering the policies and procedures. Mr. Clough reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed.

Mr. Clough may be reached at 913-393-1000.



## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Michael Sheerin, AIF\***

Born: 1985

Post Secondary Education:

- Rockhurst University, Bachelors of Science in Business Administration, 2007
- Successfully completed the securities licenses: Series 7, 66
- Insurance Licenses in Life, Health and Accident
- Accredited Investment Fiduciary® ("AIF®")

Recent Business Experience:

- Barber Financial Group, Investment Adviser Representative, June 2015 to Present
- Mutual Securities, Inc., Registered Representative, August 2016 to Present
- National Planning Corporation, Registered Representative, May 2013 to August 2016
- Career Path Recruiting, Recruiter, January 2013 to March 2013
- Solutio Inc., Advertising Sales Representative, August 2012 to August 2012
- Sheerin Scientific Inc., Sales and Service, January 2012 to August 2012
- Insphere Insurance Solutions, Insurance Adviser, December 2010 to January 2010
- Wagemorx, Customer Service Representative Temp, October 2010 to December 2010
- Associate Missionaries of The Assumption, Missionary, August 2009 to July 2010

**\*\* Minimum Qualifications for the Accredited Investment Fiduciary® (AIF®) Designation**

The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and accrue and report a minimum of six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360 Professional Certifications.

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### ITEM 3 - DISCIPLINARY INFORMATION

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Mr. Sheerin has no material legal or disciplinary events requiring disclosure. He has not been party to a) a criminal or civil action in a domestic, foreign or military court; b) an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency or any foreign financial regulatory authority imposing a penalty of more than \$2,500; c) a self-regulatory proceeding; or d) any other proceeding in which a professional attainment, designation, or license was revoked.

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### ITEM 4 - OTHER BUSINESS ACTIVITIES

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Mr. Sheerin holds an insurance license to sell limited insurance products with BGF Insurance, LLC. It is anticipated that a small portion, less than (10%) of his time, will be spent providing these insurance products. He may receive compensation from selling insurance products and therefore receive economic benefit for this activity. This activity may create a conflict of interest with clients. However, since this activity represents less than 10% of his time and income, it is presumed not to be substantial.

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### ITEM 5 - ADDITIONAL COMPENSATION

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Barber Financial Group shares net profits with the investment adviser representatives if the representatives meet personal goals. The additional compensation is reviewed and paid quarterly.

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### ITEM 6 - SUPERVISION

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Mr. Sheerin is an adviser with Barber Financial Group, Inc. Mr. Sheerin is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Tom Clough who is responsible for administering the policies and procedures. Mr. Clough reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed.

Mr. Clough may be reached at 913-393-1000.



## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Logan DeGraeve, AIF\*

Born: 1992

Post Secondary Education:

- Baker University, Degree in Accounting, Minor in Economics, 2015
- Successfully completed the securities licenses: Series 7, 66
- Insurance Licenses in Life and Health
- Accredited Investment Fiduciary\* ("AIF")

Recent Business Experience:

- Barber Financial Group, Investment Adviser Representative, October 2015 to Present
- National Planning Corporation, Registered Representative, January 2015 to August 2016
- Barber Financial Group, Sales Assistant, January 2015 to October 2015
- Student, Baker University, 2010-2015

**\*\* Minimum Qualifications for the *Accredited Investment Fiduciary\* (AIF) Designation***

The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and accrue and report a minimum of six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360 Professional Certifications.

## ITEM 3 - DISCIPLINARY INFORMATION

Mr. DeGraeve has no material legal or disciplinary events requiring disclosure. He has not been party to a) a criminal or civil action in a domestic, foreign or military court; b) an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency or any foreign financial regulatory authority imposing a penalty of more than \$2,500; c) a self-regulatory proceeding; or d) any other proceeding in which a professional attainment, designation, or license was revoked.



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#### ITEM 4 - OTHER BUSINESS ACTIVITIES

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Mr. DeGraeve holds an insurance license to sell limited insurance products with BGF Insurance, LLC. It is anticipated that a small portion, less than (10%) of his time, will be spent providing these insurance products. He may receive compensation from selling insurance products and therefore receive economic benefit for this activity. This activity may create a conflict of interest with clients. However, since this activity represents less than 10% of his time and income, it is presumed not to be substantial.

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#### ITEM 5 - ADDITIONAL COMPENSATION

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Barber Financial Group shares net profits with the investment adviser representatives if the representatives meet personal goals. The additional compensation is reviewed and paid quarterly.

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#### ITEM 6 - SUPERVISION

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Mr. DeGraeve is an adviser with Barber Financial Group, Inc. Mr. DeGraeve is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Tom Clough who is responsible for administering the policies and procedures. Mr. Clough reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed.

Mr. Clough may be reached at 913-393-1000.